## **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup>: C07C 45/58, 45/80, 47/19, 31/20, 29/141

(11) International Publication Number:

WO 96/10551

(43) International Publication Date:

11 April 1996 (11.04.96)

(21) International Application Number:

PCT/EP95/03869

(22) International Filing Date:

28 September 1995 (28.09.95)

(30) Priority Data:

316,670 316,668 30 September 1994 (30.09.94) US 30 September 1994 (30.09.94) US

(71) Applicant (for all designated States except CA): SHELL INTERNATIONALE RESEARCH MAATSCHAPPIJ B.V. [NL/NL]; Carel van Bylandtlaan 30, NL-2596 HR The Hague (NL).

(71) Applicant (for CA only): SHELL CANADA LIMITED [CA/CA]; 400-4th Avenue S.W., Calgary, Alberta T29 2H5 (CA).

(72) Inventors: EUBANKS, David, Cleve; 16211 Alametos Drive, Houston, TX 77083 (US). FORSCHNER, Thomas, Clayton; 2211 Woodland Drive, Richmond, TX 77469 (US). POWELL, Joseph, Brown; 10506 Normont Drive, Houston, TX 77070 (US). SEMPLE, Thomas, Carl; 401 Fallingleaf Drive, Friendswood, TX 77546 (US). SLAUGH, Lynn, Henry; 11911 Cypresswood Drive, Houston, TX 77070 (US). THOMASON, Terry, Blane; 14203 Highcroft Drive, Houston, TX 77077 (US). WEIDER, Paul, Richard; 15014 Tramore, Houston, TX 77083 (US). MULLIN, Stephen, Blake; 18318 Autumn Trails, Katy, TX 77449 (US).

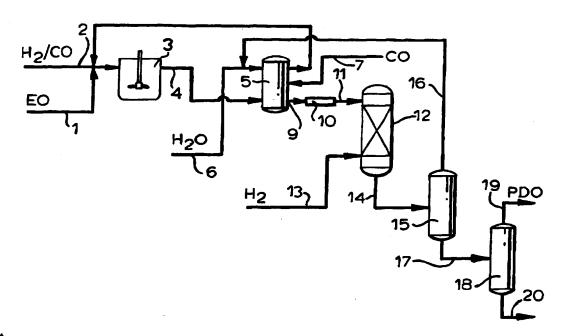
(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).

#### **Published**

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: PROCESS FOR PREPARING 1,3-ALKANEDIOLS AND 3-HYDROXYALDEHYDES



#### (57) Abstract

A process for preparing 1,3-alkanediols and 3-hydroxyaldehydes by hydroformylating an oxirane with carbon monoxide and hydrogen in the presence of one or more Group VIII metal-based hydroformylation catalysts, and in the presence of an organic solvent, wherein the hydroformylation product is separated by extraction with an aqueous liquid under a carbon monoxide atmosphere. The process enables the production of 1,3-propanediol in high yields and selectivity with the recovery and recycle of essentially all of the hydroformylation catalyst.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
ΑU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	
BE	Belgium	GR	Greece	NL	Niger
BF	Burkina Faso	HU	Hungary		Netherlands
BG	Bulgaria	IE	Ireland	NO	Norway
BJ	Benin	IT	Italy	NZ	New Zealand
BR	Brazil	JР	Japan	PL	Poland
BY	Belarus	KE	-	PT	Portugal
CA	Canada	KG	Kenya	RO	Romania
CF	Central African Republic		Kyrgystan	RU	Russian Federation
CG		KP	Democratic People's Republic	SD	Sudan
	Congo		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	LI	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany	MC	Monaco	TT	•
DK	Denmark	MD	Republic of Moldova		Trinidad and Tobago
ES	Spain	MG	Madagascar	UA	Ukraine
FI	Finland	ML	Mali	US	United States of America
FR	Prance	MN		UZ	Uzbekistan
GA	Gabon	MIN	Mongolia	VN	Viet Nam

- 1 -

# PROCESS FOR PREPARING 1,3-ALKANEDIOLS AND 3-HYDROXYALDEHYDES

This invention relates to a process for preparing 1,3-alkanediols and 3-hydroxyaldehydes by hydroformylating an oxirane (1,2-epoxide). In particular, the invention relates to a process for preparing 1,3-propanediol by hydroformylating ethylene oxide in the presence of a Group VIII-based hydroformylation catalyst and hydrogenating the hydroformylation product.

5

10

15

20

25

30

The preparation of 1,3-alkanediols like 1,3-propanediol (PDO) is disclosed in US-A-3 687 981. The process comprises the hydroformylation of an oxirane such as ethylene oxide in the presence of a metallic carbonyl catalyst containing a metal from Group VIII, followed by hydrogenation of the hydroformylation product. The hydroformylation product of that process is the cyclic hemiacetal dimer of 3-hydroxypropanal (HPA), i.e., 2-(2-hydroxyethyl)-4-hydroxy-1,3-dioxane. PDO is of particular interest as intermediate in the production of polyesters for fibres and films.

Despite the publication of this patent in 1972, fibre-grade polyesters based on PDO are as yet not commercially available. Separation of the catalyst from the cyclic hemiacetal produced in US-A-3 687 981, by phase separation, is complicated and inadequate. As a result, the cost for preparing polymer-grade PDO is too high.

In US-A-3 456 017 and US-A-3 463 819 1,3 alkanediols are prepared directly, with only minor amounts of the intermediate hydroformylation product, in the presence of certain phosphine-modified cobalt carbonyl catalysts. Commercialisation of the process of these US patents is

- 2 -

ruled out, due to the excessive amounts of catalyst employed therein. Moreover, no clear teaching is provided for optimal recycle of the hydroformylation catalyst. Also in WO 94/18149 phosphine-modified cobalt carbonyl catalysts are used. They are used in a much smaller amount than in the US patents, producing primarily the 3-hydroxyaldehyde. Again no clear teaching is provided for optimal recycle of the hydroformylation catalyst.

5

10

15

20

25

30

35

It would be desirable to prepare 3-hydroxyaldehydes and 1,3-alkanediols selectively and cheaply. It is therefore an object of the invention to provide an economical process for the preparation of 3-hydroxyaldehydes and 1,3-alkanediols in the presence of a hydroformylation catalyst which process allows for the convenient recycle of the catalyst.

Accordingly, the invention provides a process for preparing 1,3-alkanediols and 3-hydroxyaldehydes by hydroformylating an oxirane with carbon monoxide and hydrogen in the presence of one or more Group VIII metal-based hydroformylation catalysts, and in the presence of an organic solvent, wherein the hydroformylation product is separated by extraction with an aqueous liquid under a carbon monoxide atmosphere.

The oxirane comprises an organic compound, two carbon atoms of which are connected by an oxy linkage as well as by a carbon-carbon single bond. In general terms, the oxiranes comprise hydrocarbyl-epoxides, having at least 2, preferably up to 30, more preferably up to 20, most preferably up to 10 carbon atoms. The hydrocarbyl group may be aryl, alkyl, alkenyl, aralkyl, cycloalkyl, or even alkylene; straight chain or branched chain. Suitable examples of oxiranes include 1,2-epoxy(cyclo)alkanes, such as ethylene oxide, propylene oxide, 1,2-epoxyoctane, 1,2-epoxycyclohexane, 1,2-epoxy-2,4,4-trimethylhexane and the like, and 1,2-epoxyalkenes such as 1,2-epoxy-4-

- 3 -

pentene and the like. Ethylene oxide and propylene oxide are preferred. In view of the demand for PDO, ethylene oxide (EO) is the oxirane most preferably used in the process of the invention.

5

10

15

20

25

The hydroformylation reaction is carried out in a liquid solvent inert to the reactants and products, i.e., that is not consumed during the reaction. Upon completion of the reaction, the liquid solvent facilitates the separation of the hydroformylation product. In general, ideal solvents for the hydroformylation process will (a) exhibit low to moderate polarity such that the 3-hydroxyaldehyde will be dissolved to a concentration of at least about 5 wt% under hydroformylation conditions, while significant solvent will remain as a separate phase upon extraction with the aqueous liquid, (b) dissolve carbon monoxide, and (c) be essentially non-water-miscible. By "essentially non-water-miscible" is meant that the solvent has a solubility in water at 25 °C of less than 25 wt% so as to form a separate hydrocarbon-rich phase upon extraction of the 3-hydroxyaldehyde from the hydroformylation reaction mixture. Preferably, this solubility is less than 10 wt%, most preferably less than 5 wt%. The solubility of carbon monoxide in the selected solvent will generally be greater than 0.15 v/v (1 atm, 25 °C), preferably greater than 0.25 v/v, expressed in terms of Ostwald coefficients.

The preferred class of solvents are alcohols and ethers which can be described according to the formula (1)

$$R^{1}-C-R^{2}$$
 (1)

in which  $R^1$  is selected from hydrogen, a linear, branched, cyclic or aromatic  $C_{1-20}$  hydrocarbyl or monoor polyalkylene oxide and  $R^2$  is selected from a linear, branched, cyclic or aromatic  $C_{1-20}$  hydrocarbyl, alkoxy or monoor polyalkylene oxide, or  $R^1$ ,  $R^2$  and 0 together

- 4 -

form a cyclic ether. The most preferred hydroformylation solvents can be described by the formula (2)

5

10

15

20

25

30

in which  $R^1$  is selected from hydrogen or a  $C_{1-8}$  hydrocarbyl and  $R^3$ ,  $R^4$  and  $R^5$  are independently selected from a  $C_{1-8}$  hydrocarbyl, alkoxy or mono- or polyalkylene oxide. Such ethers include, for example, tetrahydrofuran, methyl-t-butyl ether, ethyl-t-butyl ether, ethoxyethyl ether, phenylisobutyl ether, diethyl ether, diphenyl ether, and diisopropyl ether. Blends of solvents such as t-butylalcohol/hexane, tetrahydrofuran/toluene and tetrahydrofuran/heptane can also be used to achieve the desired solvent properties. The currently preferred solvent, because of the high yields of HPA which can be achieved under moderate reaction conditions, is methyl-t-butyl ether.

The hydroformylation reaction is conducted in the presence of any metallic carbonyl hydroformylation catalyst. These catalysts are transition metals, particularly those metals of Group VIII of the Periodic Table, e.g., cobalt, iron, nickel, osmium and complexes described, for example, in US-A-3 161 672. Best results, however, are obtained when a cobalt-based catalyst is used, unmodified cobalt carbonyl compounds being preferred.

The cobalt-based catalyst can be supplied to the hydroformylation reactor as a cobalt carbonyl such as dicobaltoctacarbonyl or cobalt hydridocarbonyl. It may also be supplied in essentially any other form including metal, supported metal, Raney-cobalt, hydroxide, oxide, carbonate, sulfate, acetylacetonate, salt of a fatty acid, or aqueous cobalt salt solution. If not supplied as

- 5 -

cobalt carbonyl, operating conditions should be adjusted such that cobalt carbonyls are formed, for instance via reaction with H<sub>2</sub> and CO as described in J. Falbe, "Carbon Monoxide in Organic Synthesis," Springer-Verlag, NY (1970). Typically, these conditions will include a temperature of at least 50 °C and a carbon monoxide partial pressure of at least 0.8 MPa (100 psig). For more rapid reaction, temperatures of 120 to 200 °C should be employed, at CO pressures of at least 3.5 MPa (500 psig). Addition of high surface area activated carbons or zeolites, especially those containing or supporting platinum or palladium metal, is known to accelerate the formation of cobalt carbonyls.

5

10

15

20

25

30

35

The catalyst is preferably maintained under a stabilising atmosphere of carbon monoxide, which also provides protection against exposure to oxygen. The most economical and preferred catalyst activation and reactivation (of recycled catalyst) method involves converting the cobalt salt (or derivative) under  $H_2/CO$  in the presence of the catalyst promoter employed for hydroformylation. The conversion of  $Co^{2+}$  to the desired cobalt carbonyl is carried out at a temperature within the range of 75 to 200 °C, preferably 100 to 140 °C and a pressure within the range of 7.0 to 34.6 MPa (1000 to 5000 psig) for a time preferably less than about 3 hours. The preforming step can be carried out in a pressurised preforming reactor or in-situ in the hydroformylation reactor.

The amount of Group VIII metal present in the reaction mixture will vary depending upon the other reaction conditions, but will generally fall within the range of 0.01 wt% to 1 wt%, preferably 0.05 to 0.3 wt%, based on the weight of the reaction mixture.

The hydroformylation reaction mixture will preferably include a catalyst promoter to accelerate the reaction rate. The promoter will generally be present in an amount

- 6 -

within the range of 0.01 to 0.6 moles per mole of Group VIII metal.

5

10

15

20

25

30

Suitable promoters include sources of mono- and multivalent metal cations of weak bases such as alkali, alkaline earth and rare earth metal salts of carboxylic acids. Suitable metal salts include sodium, potassium and caesium acetates, propionates and octoates; calcium carbonate and lanthanum acetate. Also suitable are lipophilic promoters such as lipophilic phosphonium salts, lipophilic amines and -at the aforementioned concentrations- lipophilic bidentate phosphines, which accelerate the rate of hydroformylation without imparting hydrophilicity (water solubility) to the active catalyst. As used herein, "lipophilic" means that the promoter tends to remain in the organic phase after extraction of HPA with water. Suitable lipophilic promoters include e.g., tetra(n-butyl)phosphonium acetate, nonylpyridine, and bidentate diphosphines represented by formula (3)

in which R is a  $C_{1-3}$  divalent hydrocarbyl moiety and each R' is independently selected from a linear, branched, cyclic or aromatic  $C_{1-25}$  hydrocarbyl, alkoxy or mono-or polyalkenyl oxide, or in which two or more of the R' groups together form a ring structure. Such bidentate phosphines include bis(diphenylphosphino)methane, 1,2-bis(diphenylphosphino)ethane, 1,2-bis(9-phosphabicyclo-[3,3,1 or 4,2,1]nonyl)ethane, and 1,2-bis(dicyclohexyl-phosphino)ethane.

It is generally preferred to regulate the concentration of water in the hydroformylation reaction mixture, as excessive amounts of water reduce the selectivity towards the 1,3-alkanediols and 3-hydroxyaldehydes below

- 7 -

acceptable levels and may induce formation of a second liquid phase. At low concentrations, water can assist in promoting the formation of the desired cobalt carbonyl catalyst species. Acceptable water levels will depend upon the solvent used, with more polar solvents generally more tolerant of higher water concentrations. For example, optimum water levels for hydroformylation in methyl-t-butyl ether solvent are believed to be within the range of 1 to 2.5 wt%.

5

10

15

20

25

30

The hydrogen and carbon monoxide will generally be introduced into the reaction vessel in a molar ratio within the range of 1:2 to 8:1, preferably 1:1.5 to 5:1.

The reaction is carried out under conditions effective to produce a hydroformylation reaction mixture comprising a major portion of the 3-hydroxyaldehyde and a minor portion of by-product, if any. Moreover, the level of 3-hydroxyaldehyde in the reaction mixture is preferably maintained at less than 15 wt%, preferably 5 to 10 wt%. (To provide for solvents having different densities, the concentration of 3-hydroxyaldehyde in the reaction mixture can be expressed in molarity, i.e., less than 1.5M, preferably within the range of 0.5 to 1M.).

Generally, the hydroformylation reaction is carried out at elevated temperature less than 100 °C, preferably 60 to 90 °C, most preferably 75 to 85 °C, and at a pressure within the range of 3.5 to 34.6 MPa (500 to 5000 psig), preferably (for process economics) 7.0 to 24.2 MPa (1000 to 3500 psig), with higher pressures generally imparting greater selectivity. The concentration of 3-hydroxyaldehyde in the intermediate product mixture can be controlled by regulation of process conditions such as oxirane concentration, catalyst concentration, reaction temperature and residence time. In general, relatively low reaction temperatures (below 100 °C) and relatively

- 8 -

short residence times within the range of 20 minutes to 1 hour are preferred.

5

10

15

20

25

30

35

In the practice of the invention method, it is possible to achieve 3-hydroxyaldehyde yields (based on the oxirane conversion) of greater than 80%. For instance, with the hydroformylation of EO in the presence of a cobalt carbonyl, formation of more than 7 wt% HPA in the dilute hydroformylation product mixture, at rates greater than 30 h<sup>-1</sup> are achievable. (Catalytic rates are referred to herein in terms of "turnover frequency" or "TOF" and are expressed in units of moles per mole of cobalt per hour, or h<sup>-1</sup>.) Reported rates are based on the observation that, before a majority of the oxirane, here EO, is converted, the reaction is essentially zero-order in EO concentration and proportional to cobalt concentration.

As mentioned above, separation of the hydroformylation product mixture is carried out with an aqueous liquid.

Preferably the aqueous liquid is water. The amount of water added to the hydroformylation reaction product mixture will generally be such as to provide a weight ratio of water:mixture within the range of 1:1 to 1:20, preferably 1:5 to 1:15. The addition of water at this stage of the reaction may have the additional advantage of suppressing formation of undesirable heavy ends.

Extraction with a relatively small amount of water provides an aqueous phase which is greater than 20 wt% 3-hydroxyaldehyde, preferably greater than 35 wt% 3-hydroxyaldehyde, permitting economical hydrogenation of the 3-hydroxyaldehyde to the 1,3-alkanediol. The water extraction is preferably carried out at a temperature within the range of 25 to 55 °C, with higher temperatures avoided to minimise condensation products (heavy ends) and catalyst disproportionation to inactive, watersoluble Group VIII metal (e.g., cobalt) compounds.

5

10

15

20

25

30

35

- 9 -

According to the invention, to maximise catalyst recovery, the water extraction is carried out under carbon monoxide. The carbon monoxide can be introduced into the extraction vessel separately or the extraction can be carried out under residual carbon monoxide from the hydroformylation reaction. Suitably, it is carried out under a carbon monoxide partial pressure that is less than that maintained for hydroformylation. Extraction of the 3-hydroxyaldehyde under carbon monoxide enables 80% or more of the hydroformylation catalyst to be extracted into the organic phase. The hydroformylation catalyst may subsequently be recycled to the hydroformylation reaction via a solvent recycle. The (partial) pressure of carbon monoxide is preferably maintained within the range of 0.2 to 13.9 MPa (20 to 2000 psig), most preferably 0.5 to 1.5 MPa (50 to 200 psig). The carbon monoxide may be combined with hydrogen or another inert gas, such as nitrogen, methane or argon.

The invention process can be conveniently described by reference to Figure 1. By way of example, the hydroformylation of EO as oxirane will be described. Separate or combined streams of EO (1), carbon monoxide and hydrogen (2) are charged to hydroformylation vessel (3), which can be a pressure reaction vessel such as a bubble column or agitated tank, operated batch-wise or in a continuous manner. The feed streams are contacted in the presence of an unmodified cobalt-based catalyst, i.e., a cobalt carbonyl compound which has not been prereacted with a phosphine ligand.

Following the hydroformylation reaction, the hydroformylation reaction product mixture (4) containing HPA, the reaction solvent, PDO, the cobalt catalyst and a minor amount of reaction by-products, is passed to extraction vessel (5), to which an aqueous liquid, generally water and optionally a miscible solvent, are added

- 10 -

via (6) for extraction and concentration of the HPA for the subsequent hydrogenation step. Liquid extraction can be effected by any suitable means, such as mixer-setters, packed or trayed extraction columns or rotating disk contactors. Extraction can if desired be carried out in multiple stages. The water-containing hydroformylation reaction product mixture can be passed to a settling tank (not shown) for resolution into aqueous and organic phases.

5

10

15

20

25

30

The organic phase containing the reaction solvent and the major portion of the cobalt catalyst can be recycled from the extraction vessel to the hydroformylation reaction via (7). Aqueous extract (8) is optionally passed through one or more acid ion exchange resin beds (9) for removal of any cobalt catalyst present, and the decobalted aqueous product mixture (10) is passed to hydrogenation vessel (11) and reacted with hydrogen (12) in the presence of a hydrogenation catalyst to produce a hydrogenation product mixture (13) containing PDO. The hydrogenation step may also revert some heavy ends to PDO. The solvent and extractant water (15) can be recovered by distillation in column (14) and recycled to the water extraction process via a further distillation (not shown) for separation and purge of light ends. PDOcontaining stream (16) can be passed to one or more distillation columns (17) for recovery of PDO (18) from heavy ends (19).

The invention process permits the selective and economical synthesis of PDO at moderate temperatures and pressures without the use of a phosphine ligand for the hydroformylation catalyst. The process involves preparation of a reaction product mixture dilute in HPA, then concentration of this HPA by water extraction for subsequent hydrogenation of HPA to PDO.

- 11 -

#### Example 1

5

10

15

20

25

30

35

This example illustrates cobalt catalyst recovery under a nitrogen atmosphere during water extraction of HPA from a hydroformylation reaction product mixture. 13g of ethylene oxide were hydroformylated at 80 °C under 10.4 MPa (1500 psig) of 2.3:1 H<sub>2</sub>/CO, in an unpromoted reaction mixture containing 0.87g dicobaltoctacarbonyl, 1.5g toluene (internal marker) and 147.5g methyl-t-butyl ether (MTBE). After 5.75 hours of reaction, 4.7 wt% HPA was observed in solution. The reaction mixture was cooled to 25 °C and extracted under nitrogen with 30g of deionised water. 70.56g of the upper organic layer (OL) containing 901 ppm cobalt and 31.85g of the lower aqueous layer (AL) containing 1828 ppm cobalt were isolated. Thus, 52% of the cobalt remained with the organic layer following water extraction.

After removal of the organic layer from the reaction, 0.43g of a 36% acetic acid/water solution were added to the lower aqueous layer along with 150 ml ambient pressure air and a 0.8 MPa (100 psig) nitrogen blanket. The mixture was stirred for 30 min. at room temperature, to attempt to oxidise Co<sup>-1</sup>, present as tetracarbonyl anion, to dicobaltoctacarbonyl. The resulting oxidation reaction mixture was treated with 26.9g of fresh MTBE to extract any oil-soluble dicobaltoctacarbonyl. No cobalt was extracted into the ether phase.

## Example 2

This example illustrates cobalt catalyst recovery under a carbon monoxide atmosphere during water extraction of HPA from a promoted hydroformylation reaction product mixture. 10g of ethylene oxide were hydroformylated in a reaction mixture containing 0.87g dicobaltoctacarbonyl, 0.14g sodium acetate trihydrate promoter, 1.5g toluene and 1.5g undecanol (internal markers), and 146g MTBE at 80 °C and 10.4 MPa (1500 psig)

- 12 -

of 2.3:1  $H_2/CO$ . Analysis after 2 hours 12 minutes of reaction indicated 7.9 wt% HPA in the reaction product mixture.

After removal of a large fraction of this reaction mixture for alternate studies, 51g of the remaining reaction mixture were placed in the reactor and cooled to 27 °C. The reactor was vented and recharged with 1.5 MPa (200 psig) of CO. 16g of water were added to extract HPA. After transferring a majority of the mixture to a phase separator under 1.5 MPa (200 psig) carbon monoxide, 41.2g of upper organic layer were isolated and found to contain 1893 ppm cobalt. 12.9g of the aqueous layer contained 1723 ppm cobalt. 78% of the cobalt present was thus present in the organic layer. The lower aqueous layer was re-extracted under nitrogen with 1 part fresh MTBE. No cobalt appeared in the organic layer following the attempted extraction.

0.2g of 36% acetic acid in water were then added to the lower aqueous layer/MTBE mixture. A small amount of air was introduced to oxidise the remaining cobalt catalyst under a blanket of nitrogen. 23% of the remaining cobalt partitioned into the organic layer. Total cobalt recovery (initial water extraction plus partial oxidation and extraction) of cobalt was 83%. The organic layer was found to contain 0.9 wt% HPA, while the aqueous layer contained about 21.4 wt% HPA.

As can be seen by comparison of this result with Example 1, water extraction of HPA under CO significantly improved cobalt recycle in the organic layer. Moreover, some of the cobalt remaining in the aqueous phase could be recovered by partial oxidation and extraction with MTBE.

#### Example 3

5

10

15

20

25

30

35

This example further illustrates the use of a carbon monoxide atmosphere to improve catalyst recovery for

- 13 -

recycle after hydroformylation. 14g of EO were hydroformylated with 0.87g dicobaltoctacarbonyl, 0.14g of sodium acetate trihydrate, 1.5g toluene, 1.5g undecanol, 4.5g tetrahydrofuran and 146g MTBE, under 10.4 MPa (1500 psig) 3:1  $\rm H_2/CO$  at 80 °C. After 1.5 hr, the reaction mixture was found to contain 8.31 wt% HPA.

The reaction mixture was cooled to room temperature and extracted with 30g of deionised water under an atmosphere of 1.5 MPa (200 psig) CO. 72.2g of an upper organic layer containing 1638 ppmw cobalt were isolated. 33.4g of a lower aqueous layer containing 1040 ppmw cobalt. Cobalt recycle with the organic layer was 77%.

0.2g of 36% acetic acid in water were added to the lower layer, along with 30g of fresh MTBE solvent, 150 ml of ambient pressure air and 6.0 MPa (850 psig) CO. The mixture was stirred for 30 min. at 25 °C and then the phases were allowed to separate. 29.3g of upper organic layer containing 1158 ppmw cobalt were recovered. 32.1g of lower aqueous layer contained only 123 ppmw cobalt. Overall, 98% of the starting cobalt catalyst was recovered and recycled with MTBE solvent to the hydroformylation reaction.

#### Example 4

5

10

15

20

25

30

A series of experiments was done to determine the effect of extraction under carbon monoxide, alone or as a  ${\rm CO/H_2}$  blend, on the recovery of cobalt following hydroformylation. Hydroformylations employed sodium acetate promoter in MTBE solvent under essentially the same reaction conditions as described previously.

Extraction conditions and results are shown in Table 1. As can be seen from the table, extraction under CO increased cobalt recycle in the organic layer following water extraction.

- 14 -

Ta	bl	e	1	Ex	tr.	ac	tί	on

Gas	Extraction	Temp. wt OL	OL Co	AL Co	Co*
	MPa (psig)	(°C) wt AL	(ppm)	(ppm)	(용)
N <sub>2</sub>	0.11 (1)	80 2.5	0	5900	0
$N_2$	0.8 (100)	25 4.97	913	5400	46
$N_2$	0.11 (1)	25 0.76	795	444	58
N <sub>2</sub>	0.8 (100)	25 2.22	901	1828	52
1:1 H <sub>2</sub> /CO	±0.12 (±5)	25 5.91	1664	1989	83
СО	0.8 (100)	25 2.24	433	368	73
СО	1.5 (200)	25 3.19	1893	1723	78
CO	1.5 (200)	25 1.85	2235	416	91
СО	2.2 (300)	25 2.16	1658	1040	77

OL = organic layer

AL = aqueous layer

### Example 5

A series of experiments was done to determine the effect of extraction under carbon monoxide on the recovery of cobalt following hydroformylation.

5

10

15

Hydroformylations were conducted on 300 ml or 3.79 l (1 gallon) scales in the presence of quaternary ammonium acetate ("ETHOQUAD" 2 C/11, a trademark for a bis( $C_{12-13}$  alkyl) (hydroxyethyl)methylammonium acetate) as lipophilic promoter (0.1 moles, relative to cobalt) at 80 °C in MTBE, and 2.3:1 to 3.0:1  $H_2$ /CO (with total pressures given in Table 2). Reactions were restricted to formation of less than 10 wt% HPA prior to water extraction. Water extractions were carried out at 25 to 40 °C and 0.5 to 2.2 MPa (50 to 300 psig) CO, with varying amounts of water added to give organic/aqueous phase ratios of 1.5:1 to 4:1. As can be seen from Table 2, the use of a lipophilic ammonium salt promoter and extraction under CO enabled the recycle of 90% or more of the cobalt catalyst with the hydroformylation reaction solvent, while HPA was

<sup>\*</sup> Percent total cobalt retained in organic layer.

preferentially concentrated and extracted into the aqueous phase at a greater than 10:1 ratio. Thus, cobalt catalyst and HPA were efficiently separated. Moreover, Run 7 represented a recycle of catalyst from Run 6 (3.79 l scale). For Run 7, a hydroformylation rate of 33 h $^{-1}$  was obtained, compared with a rate of 35 h $^{-1}$  in Run 6. This illustrates that the invention enables the majority of the catalyst to be recycled in essentially active form.

Т	a1	h	1	6	2
-	•	_	_	·	-

1	able 2			
	Hydrof.	% Co*	HPA wt%	HPA wt%
Run	MPa (psig)		AL	OL
1	10.4 (1500)	91.4	12.1	1.1
2	10.4 (1500)	91.5	20.3	0.9
3	10.4 (1500)	90.4	21.7	1.2
4	10.4 (1500)	94.4	23.2	0.8
5#	10.4 (1500)	81.5	17.7	1.4
6	19.1 (2750)	99.2	22.7	0.7
7#	19.1 (2750)	92.1	24.8	1.6

<sup>\*</sup> Percent total cobalt retained in organic layer.

5

<sup>#</sup> Recycled catalyst.

- 16 -

#### CLAIMS

- 1. A process for preparing 1,3-alkanediols and 3-hydroxyaldehydes by hydroformylating an oxirane with carbon monoxide and hydrogen in the presence of one or more Group VIII metal-based hydroformylation catalysts, and in the presence of an organic solvent, wherein the hydroformylation product is separated by extraction with an aqueous liquid under a carbon monoxide atmosphere.
- 2. A process as claimed in claim 1, wherein the oxirane is a hydrocarbyl-epoxide having of 2 up to 30 carbon atoms.
- 3. A process as claimed in claim 1, wherein the oxirane is ethylene oxide.
- 4. A process as claimed in any one of the preceding claims, wherein the solvent is an alcohol or ether according to formula (1)

$$R^{1}-O-R^{2}$$
 (1)

5

10

15

20

in which  $R^1$  is selected from hydrogen, a linear, branched, cyclic or aromatic  $C_{1-20}$  hydrocarbyl or monoor polyalkylene oxide, and  $R^2$  is selected from a linear, branched, cylic or aromatic  $C_{1-20}$  hydrocarbyl, alkoxy or monoor polyalkylene oxide, or  $R^1$ ,  $R^2$  and O together form a cyclic ether.

- 5. A process as claimed in the preceding claims, wherein the Group VIII metal is cobalt.
- 6. A process as claimed in any one of the preceding claims, wherein the reaction mixture comprises a promoter.
  - 7. A process as claimed in any one of the preceding claims, wherein the level of 3-hydroxyaldehyde in the reaction mixture is maintained at less than 15 wt%.

5

10

15

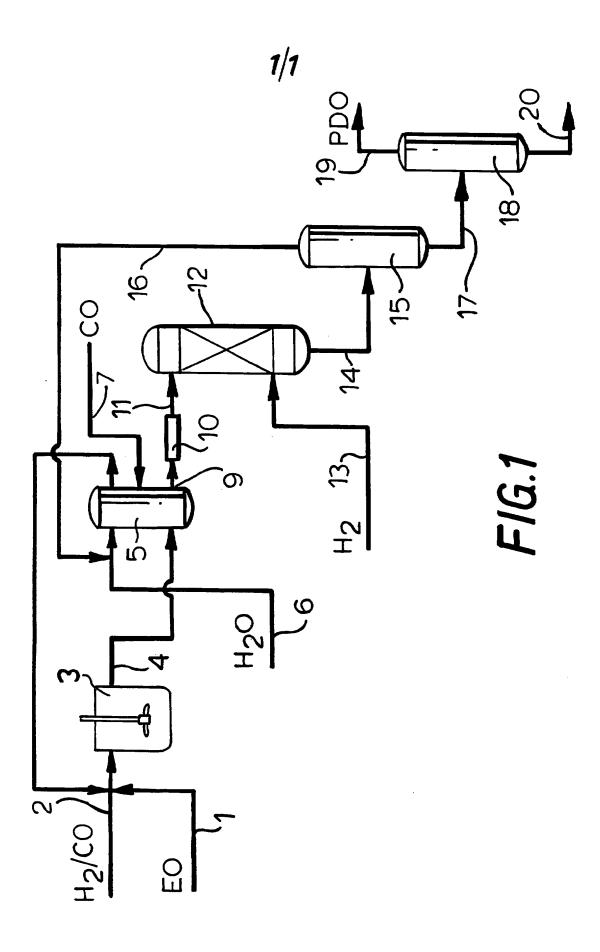
20

- 17 -

8. A process as claimed in any one of the preceding claims, wherein the hydroformylation is carried out at a temperature within the range of 50 to 100 °C and a pressure within the range of 3.5 to 34.6 MPa.

- 9. A process as claimed in any one of the preceding claims, wherein the carbon monoxide atmosphere pressure is maintained within the range of 0.2 to 13.9 MPa.

  10. A process as claimed in any one of the preceding claims, wherein the carbon monoxide atmosphere is a mixture of carbon monoxide and a gas selected from the group consisting of argon, hydrogen, nitrogen and methane.
- 11. A process as claimed in any one of the preceding claims, wherein the hydroformylation product is separated by addition of water in an amount to provide a water:mixture weight ratio within the range of 1:1 to 1:20.
- 12. A process as claimed in any one of the preceding claims, wherein the Group VIII metal-based catalyst is recycled.
- 13. A process as claimed in any one of the preceding claims, wherein the 3-hydroxyaldehyde is hydrogenated to yield the 1,3-alkanediol.



#### INTERNATIONAL SEARCH REPORT

Intern al Application No PCT/EP 95/03869

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 C07C45/58 C07C45/80 C07C47/19 C07C31/20 C07C29/141 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 6 CO7C Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. WO,A,94 18149 (SHELL INTERNATIONALE A 1-13 RESEARCH MAATSCAPPIJ N.V.) 18 August 1994 cited in the application see the whole document US,A,5 030 766 (J.R. BRIGGS ET AL.) 9 July 1 see column 9; claims US,A,4 678 857 (R.G. DUREANLEAU) 7 July 1 see the whole document -/--∵x i Further documents are listed in the continuation of box C. X Patent family members are listed in annex. \* Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance עט קעפאעו 'E' earlier document but published on or after the international "X" document of particular relevance; the claimed inventor cannot be considered novel or cannot be considered to filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of part star relevance; the claimed invention cannot be considered to involve an inventive step when the document is commended with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or other means ments, such combination being obvious to a person skilled document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 3 D. M. 96 19 January 1996 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+ 31-70) 340-3016 Bonnevalle, E

## INTERNATIONAL SEARCH REPORT

Inter. nal Application No PCT/EP 95/03869

0.45	A DOCUMENTO COMPLETE TO THE PROPERTY OF	PC1/EP 95/03869
	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DATABASE WPI Section Ch, Week 8443 Derwent Publications Ltd., London, GB; Class E19, AN 84-267384 & JP,A,59 164 739 ( DAICEL CHEM IND KK), 17 September 1984 see abstract	1
	-	

# INTERNATIONAL SEARCH REPORT

information on patent family members

Inter nal Application No PCT/EP 95/03869

Patent document cited in search report	Publication date	Patent family member(s)		Publication date	
WO-A-9418149	18-08-94	US-A-	5256827	26-10-93	
		US-A-	5304686	19-04-94	
		US-A-	5304691	19-04-94	
		US-A-	5344993	06-09-94	
		AU-B-	6039394	29-08-94	
		EP-A-	0682650	22-11-95	
		CA-A-	2155409	18-08-94	
US-A-5030766	09-07-91	AU-B-	653934	20-10-94	
		AU-B-	7635991	07-11-91	
		CN-A-	1056865	11-12-91	
		EP-A-	0455257	06-11-91	
		JP-A-	5117186	14-05-93	
		US-A-	5225387	06-07-93	
US-A-4678857	07-07-87	NONE			